# Discriminative approaches to Statistical Parsing

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# Talk outline

- A typology of approaches to parsing
- Applications of parsers
- Representations and features of statistical parsers
- Estimation (training) of statistical parsers
  - maximum likelihood (generative) estimation
  - maximum *conditional* likelihood (discriminative) estimation
- Experiments with a discriminatively trained reranking parser
- Advantages and disadvantages of generative and discriminative training
- Conclusions and future work

# Grammars and parsing

- A *(formal) language* is a set of strings
  - For most practical purposes, human languages are infinite sets of strings
  - In general we are interested in the mapping from surface form to meaning
- A grammar is a finite description of a language
  - Usually assigns each string in a language a *description* (e.g., parse tree, semantic representation)
- Parsing is the process of characterizing (recovering) the descriptions of a string
- Most grammars of human languages are either *manually constructed* or *extracted automatically from an annotated corpus*

- Linguistic expertise is necessary for both!

# Manually constructed grammars

Examples: Lexical-functional grammar (LFG), Head-driven phrase-structure grammar (HPSG), Tree-adjoining grammar (TAG)

- Linguistically inspired
  - Deals with linguistically interesting phenomena
  - Ignores boring (or difficult!) but frequent constructions
  - Often explicitly models the form-meaning mapping
- Each theory usually has its own kind of representation
  - $\Rightarrow$  Difficult to compare different approaches
- Constructing broad-coverage grammars is hard and unrewarding
- Probability distributions can be defined over their representations
- Often involve *long-distance constraints* 
  - $\Rightarrow$  Computationally expensive and difficult



# **Corpus-derived grammars**

- Grammar is extracted automatically from a large linguistically annotated corpus
  - Focuses on frequently occuring constructions
  - Only models phenomena that can be (easily) annotated
  - Typically ignores semantics and most of the rich details of linguistic theories
- Different models extracted from the same corpus can usually be compared
- Constructing corpora is hard, unrewarding work
- *Generative models* usually only involve local constraints
  - Dynamic programming possible, but usually involves heuristic search

#### Sample Penn treebank tree



# Applications of (statistical) parsers

- 1. Applications that use syntactic *parse trees* 
  - information extraction
  - (short answer) question answering
  - summarization
  - machine translation
- 2. Applications that use the *probability distribution* over strings or trees (parser-based language models)
  - speech recognition and related applications
  - machine translation

# **PCFG** representations and features



0.14: VP  $\rightarrow$  VB NP ADVP

- Probabilistic context-free grammars (PCFGs) associate a *rule probability* p(r) with each rule  $\Rightarrow$  features are *local trees*
- Probability of a tree y is  $P(y) = \prod_{r \in y} p(r) = \prod_r p(r)^{f_r(y)}$  where  $f_r(y)$  is the number of times r appears in y
- Probability of a string x is  $P(x) = \sum_{y \in \mathcal{Y}(x)} P(y)$

# Lexicalized PCFGs



- *Head annotation* captures *subcategorization* and *head-to-head dependencies*
- Sparse data is a serious problem: smoothing is essential!

# Modern (generative) statistical parsers



- Generates a tree via a very large number of small steps (generates NP, then NN, then boat)
- Each step in this branching process conditions on a large number of (already generated) variables
- Sparse data is the major problem: smoothing is essential!

#### Estimating PCFGs from visible data



### Why is the PCFG MLE so easy to compute?



- Visible training data  $D = (y_1, \ldots, y_n)$ , where  $y_i$  is a parse tree
- The MLE is  $\hat{p} = \operatorname{argmax}_p \prod_{i=1}^n P_p(y_i)$
- It is easy to compute because PCFGs are always normalized, i.e.,  $Z = \sum_{y \in \mathcal{Y}} \prod_r p(r)^{f_r(y)} = 1$ , where  $\mathcal{Y}$  is the set of all trees generated by the grammar

#### Non-local constraints and the PCFG MLE



## Renormalization



#### Other values do better!



## Make dependencies local – GPSG-style



### Maximum entropy or log linear models

- $\mathcal{Y} = \text{set of syntactic structures (not necessarily trees)}$
- $f_j(y)$  = number of occurrences of *j*th feature in  $y \in \mathcal{Y}$ (these features need not be conventional linguistic features)
- $w_j$  are "feature weight" parameters

$$S_w(y) = \sum_{j=1}^m w_j f_j(y)$$

$$V_w(y) = \exp S_w(y)$$

$$Z_w = \sum_{y \in \mathcal{Y}} V_w(y)$$

$$P_w(y) = \frac{V_w(y)}{Z_w} = \frac{1}{Z_w} \exp \sum_{j=1}^m w_j f_j(y)$$

$$\log P_\lambda(y) = \sum_{j=1}^m w_j f_j(y) - \log Z_w$$

#### **PCFGs** are log-linear models

 $\mathcal{Y} = \text{set of all trees generated by grammar } G$  $f_j(y) = \text{number of times the } j \text{th rule is used in } y \in \mathcal{Y}$  $p(r_j) = \text{probability of } j \text{th rule in } G$ 

Choose  $w_j = \log p(r_j)$ , so  $p(r_j) = \exp w_j$ 



$$P_w(y) = \prod_{j=1}^m p(r_j)^{f_j(y)} = \prod_{j=1}^m (\exp w_j)^{f_j(y)} = \exp(\sum_{j=1}^m w_j f_j(\omega))$$

So a PCFG is just a log linear model with Z = 1.

# Max likelihood estimation of log linear models

Visible training data  $D = (y_1, \ldots, y_n)$ , where  $y_i \in \mathcal{Y}$  is a tree



- In general no closed form solution  $\Rightarrow$  optimize  $\log L_D(w)$  numerically.
- Calculating  $Z_w$  involves summing over all parses of all strings
- $\Rightarrow$  computationally intractible (Abney suggests Monte Carlo)

# Summary so far

#### All dependencies are local or context-free:

- if features have "context free" branching structure (i.e., rules) then partition function Z can be calculated analytically
- $\Rightarrow$  MLE has a simple analytic form (relative frequency)

#### Structures exhibit non-local constraints:

- with non-local constraints, MLE is in general not relative frequency
- Usually no analytic form for Z
- $\Rightarrow$  no analytic solution for the MLE
- $\Rightarrow no reason to only use local tree rule features$  $(i.e., the <math>f_j(y)$  can be any easily computable function of y)
  - If it is necessary to enumerate  $\mathcal{Y}$  to calculate Z then MLE is infeasible

# Conditional Likelihood and Discriminative training

Given training data  $D = ((x_1, y_1), \dots, (x_n, y_n))$  of strings  $x_i$  and corresponding parse  $y_i$ :

- The PCFG MLE optimizes  $L_D(w) = P_w(x_1, y_1) \dots P_w(x_n, y_n)$
- The PCFG MLE is a *generative model* that models the distribution of strings P(x) as well as trees given strings P(y|x)
- The conditional distribution P(y|x) is important for parsing, but the marginal distribution P(x) is not
- Generative models "waste" some of their parameters to model the marginal distribution P(x)
- Optimize conditional likelihood  $L'_D(w) = P_w(y_1|x_1) \dots P_w(y_n|x_n)$

# Generative vs discriminative training



• When the PCFG independence assumptions are violated, the MLE may not accurately model P(y|x)

#### Linguistic example of discriminative training



Rule	count	rel freq	rel freq
$\mathrm{VP} \to \mathrm{V}$	100	100/105	4/7
$\mathrm{VP} \to \mathrm{V} \; \mathrm{NP}$	3	3/105	1/7
$\mathrm{VP} \to \mathrm{VP} \ \mathrm{PP}$	2	2/105	$\mathbf{2/7}$
$\mathrm{NP} \to \mathrm{N}$	6	6/7	6/7
$\mathrm{NP} \to \mathrm{NP} \; \mathrm{PP}$	1	1/7	1/7

#### Conditional estimation for log linear models

The *pseudo-likelihood* of w is the *conditional probability* of the *hidden part* (syntactic structure) w given its *visible part* (yield or terminal string) x = X(y) (Besag 1974)



$$V_w(y) = \exp \sum_j w_j f_j(y) \qquad Z_w(x) = \sum_{y' \in \mathcal{Y}(x)} V_w(y')$$

# **Conditional ML estimation**

- The pseudo-partition function  $Z_w(x)$  is much easier to compute than the partition function  $Z_w$ 
  - $Z_w$  requires a sum over  $\mathcal{Y}$
  - $Z_w(x)$  requires a sum over  $\mathcal{Y}(x)$  (parses of x)
- *Maximum likelihood* estimates full joint distribution

- learns P(x) and P(y|x)

- *Conditional ML* estimates a conditional distribution
  - learns P(y|x) but not P(x)
  - conditional distribution is what you need for parsing
  - cognitively more plausible?
- Conditional estimation requires labelled training data: no obvious EM extension

# **Conditional estimation**

	Correct parse's features	All other parses' features
sentence 1	[1,3,2]	$\left[2,2,3 ight]\left[3,1,5 ight]\left[2,6,3 ight]$
sentence 2	[7,2,1]	[2,5,5]
sentence 3	[2,4,2]	$[1,1,7] \; [7,2,1]$
• • •	• • •	• • •

- Training data is *fully observed* (i.e., parsed data)
- Choose *w* to maximize (log) likelihood of *correct* parses relative to other parses
- Distribution of *sentences* is ignored
- Nothing is learnt from unambiguous examples
- Other kinds of discriminative learners can also train from this data

# **Pseudo-constant features are uninformative**

	Correct parse's features	All other parses' features
sentence 1	[1, 3, 2]	[2, 2, 2] [3, 1, 2] [2, 6, 2]
sentence 2	[7,2,5]	$[2, 5, {\color{red} 5}]$
sentence 3	[2, 4, 4]	$\left[1,1,4 ight]\left[7,2,4 ight]$
• • •	• • •	• • •

- *Pseudo-constant features* are identical within every set of parses
- They contribute the same constant factor to each parses' likelihood
- They do not distinguish parses of any sentence  $\Rightarrow$  irrelevant

# **Pseudo-maximal features** $\Rightarrow$ **unbounded** $\widehat{w_j}$

	Correct parse's features	All other parses' features
sentence 1	[1, 3, 2]	[2, 3, 4] [3, 1, 1] [2, 1, 1]
sentence 2	[2, 7, 4]	[3, 7, 2]
sentence 3	[2, <b>4</b> , 4]	$[1, 1, 1] \; [1, 2, 4]$

- A *pseudo-maximal feature* always reaches its maximum value within a parse on the correct parse
- If  $f_j$  is pseudo-maximal,  $\widehat{w_j} \to \infty$  (hard constraint)
- If  $f_j$  is pseudo-minimal,  $\widehat{w_j} \to -\infty$  (hard constraint)

# Regularization

- $f_j$  is pseudo-maximal over training data  $\Rightarrow f_j$  is pseudo-maximal over all  $\mathcal{Y}$  (sparse data)
- With many more features than data, log-linear models can over-fit
- Regularization: add *bias* term to ensure  $\hat{w}$  is finite and small
- In these experiments, the regularizer is a polynomial penalty term

$$\widehat{w} = \operatorname*{argmax}_{w} \log \operatorname{PL}_{D}(w) - c \sum_{j=1}^{m} |w_{j}|^{p}$$

(p = 2 gives a Gaussian prior).

# **Conditional estimation of PCFGs**

- MCLE involves maximizing a complex non-linear function
  - conjugate gradient (iterative optimization)
  - each iteration involves summing over all parses of each training sentence
- $\Rightarrow$  Use the small ATIS treebank corpus
  - Trained on 1088 sentences of ATIS1 corpus
  - Tested on 294 sentences of ATIS2 corpus
  - MCLE estimator initialized with MLE probabilities
  - (Added in 2003: I think there may be better ways to do the conditional estimation)

#### **Parser evaluation**

- A node's *edge* is its label and beginning and ending *string positions*
- E(y) is the set of edges associated with a tree y (same with forests)

• If y is a parse tree and  $\bar{y}$  is the correct tree, then precision  $P_{\bar{y}}(y) = |E(y)|/|E(y) \cap E(\bar{y})|$ recall  $R_{\bar{y}}(y) = |E(\bar{y})|/|E(y) \cap E(\bar{y})|$ f score  $F_{\bar{y}}(y) = 2/(P_{\bar{y}}(y)^{-1} + R_{\bar{y}}(y)^{-1})$ 



# Conditional and Joint ML PCFG evaluation

	MLE	MCLE
- log likelihood of training data	13857	13896
$-\log conditional$ likelihood of training data	1833	1769
$-\log marginal$ probability of training strings	12025	12127
Labelled precision of test data	0.815	0.817
Labelled recall of test data	0.789	0.794

• Precision/recall difference *not significant*  $(p \approx 0.1)$ 

# **Experiments in Discriminative Parsing**

- Collins Model 3 parser produces a set of candidate parses
   \$\mathcal{Y}(x)\$ for each sentence \$x\$
- The discriminative parser has a weight  $w_j$  for each feature  $f_j$
- The score for each parse is  $S(x,y) = w \cdot f(x,y)$
- The highest scoring parse

 $\hat{y} = \operatorname*{argmax}_{y \in \mathcal{Y}(x)} S(x, y)$ 

is predicted correct



#### Training the discriminative parser

- Training data  $((x_1, y_1), \ldots, (x_n, y_n)) \quad \mathcal{Y}_c(x_i)$
- Each string  $x_i$  is parsed using Collins parser, producing a set  $\mathcal{Y}_c(x_i)$  of parse trees
- Best parse  $\tilde{y}_i = \operatorname{argmax}_{y \in \mathcal{Y}_c(x_i)} F_{y_i}(y)$ , where  $F_{y'}(y)$  measures parse accuracy
- w is chosen to maximize the regularized log pseudo-likelihood  $\sum_i \log P_w(\tilde{y}_i|x_i) + R(w)$



#### **Baseline and oracle results**

- Training corpus: 36,112 Penn treebank trees, development corpus 3,720 trees from sections 2-21
- Collins Model 2 parser failed to produce a parse on 115 sentences
- Average  $|\mathcal{Y}(x)| = 36.1$
- Model 2 f-score = 0.882 (picking parse with highest Model 2 probability)
- Oracle (maximum possible) f-score = 0.953 (i.e., evaluate f-score of closest parses  $\tilde{y}_i$ )
- $\Rightarrow$  Oracle (maximum possible) error reduction 0.601

#### Expt 1: Only "old" features

- Features: (1) *log Model 2 probability*, (9717) local tree features
- Model 2 already conditions on local trees!
- Feature selection: features must vary on 5 or more sentences
- Results: f-score = 0.886;  $\approx 4\%$  error reduction
- $\Rightarrow$  discriminative training alone can improve accuracy



### Expt 2: Rightmost branch bias

- The RightBranch feature's value is the number of nodes on the right-most branch (ignoring punctuation)
- Reflects the tendancy toward right branching
- LogProb + RightBranch: f-score = 0.884 (probably significant)
- LogProb + RightBranch + Rule: f-score = 0.889



# Lexicalized and parent-annotated rules

- *Lexicalization* associates each constituent with its head
- *Parent annotation* provides a little "vertical context"
- With all combinations, there are 158,890 rule features



### *n*-gram rule features generalize rules

- Collects adjacent constituents in a local tree
- Also includes relationship to head
- Constituents can be ancestor-annotated and lexicalized
- 5,143 unlexicalized rule bigram features, 43,480 lexicalized rule bigram features



## Head to head dependencies

- Head-to-head dependencies track the function-argument dependencies in a tree
- Co-ordination leads to phrases with multiple heads or functors
- With all combinations, there are 121,885 head-to-head features



## Head trees record all dependencies

- Head trees consist of a (lexical) head, all of its projections and (optionally) all of the siblings of these nodes
- These correspond roughly to TAG elementary trees



# **Constituent Heavyness and location**

- Heavyness measures the constituent's category, its (binned) size and (binned) closeness to the end of the sentence
- There are 984 Heavyness features



> 5 words

=1 punctuation

#### Tree *n*-gram

- A tree *n*-gram are tree fragments that connect sequences of adjacent *n* words
- There are 62,487 tree *n*-gram features



### Subject-Verb Agreement

- The SubjVerbAgr features are the POS of the subject NP's lexical head and the VP's functional head
- There are 200 SubjVerbAgr features



## **Functional-lexical head dependencies**

- The SynSemHeads features collect pairs of functional and lexical heads of phrases (Grimshaw)
- This captures number agreement in NPs and aspects of other head-to-head dependencies
- There are 1,606 SynSemHeads features



# Coordination parallelism (1)

- The CoPar feature indicates the depth to which adjacent conjuncts are parallel
- There are 9 CoPar features



# Coordination parallelism (2)

- The CoLenPar feature indicates the difference in length in adjacent conjuncts and whether this pair contains the last conjunct.
- There are 22 CoLenPar features



CoLenPar feature: (2,true) 6 words

# Regularization

- General form of regularizer:  $c \sum_j |w_j|^p$
- p = 1 leads to sparse weight vectors. (Kazama and Tsujii, 2003) - If  $|\partial L/\partial w_j| < c$  then  $w_j = 0$
- Experiment on small feature set:
  - 164,273 features

$$-c = 2, p = 2, f$$
-score = 0.898

- -c = 4, p = 1, f-score = 0.896, only 5,441 non-zero features!
- Earlier experiments suggested that optimal performance is obtained with  $p\approx 1.5$

# Experimental results with all features

- Features must vary on parses of at least 5 sentences in training data
- In this experiment, 692,708 features
- regularization term:  $4\sum_j |w_j|^2$
- dev set results: f-score = 0.904 (20% error reduction)

# Which kinds of features are best?

	# of features	f-score
Treebank trees	$375,\!646$	0.901
Correct parses	$271,\!267$	0.902
Incorrect parses	$876,\!339$	0.903
Correct & incorrect parses	883,936	0.903

- Features from incorrect parses characterize failure modes of Collins parser
- There are far more ways to be wrong than to be right!

# **Evaluating feature classes**

$\Delta$ f-score	$\Delta - logL$	$\# \mathbf{w}$	av w[j]	${ m sd} w[{ m j}]$	zeroed class
-0.0187508	1814.32	1	0.629557	$\inf$	LogProb
-0.00185951	145.987	2	-0.477453	1.59274e-05	RightBranch
5.50245 e-05	9.44562	9717	0.000637244	0.0024974	Rule:0:0:0:0:0:0:0:0:0
-0.00106989	0.896624	48723	0.000629753	0.00235112	Rule:1:0:0:0:0:0:0:0
-0.000611704	2.77256	68035	0.000639053	0.00255555	NGramTree:3:2:1:0
-0.000270621	1.66255	21543	0.000944576	0.0028058	Heads:2:0:1:1
-0.00031439	5.4608	10187	0.000908379	0.00225115	Word:2
-0.00241466	61.5452	984	-0.00115477	0.0119984	Heavy
-0.00153331	47.0448	7450	0.000453298	0.00513622	Neighbours:1:1
0.000127092	11.0722	9	0.145198	0.0562	CoPar
-0.00018458	5.28722	22	0.0155067	0.0313398	CoLenPar
-9.55417e-05	1.30432	200	-0.00147174	0.00723214	SubjVerbAgr

# Summary

- Generative and discriminative parsers both identify the likely parse y of a string x, i.e., estimate P(y|x)
- Generative parsers also define language models, estimate P(x)
- Discriminative estimation doesn't require feature independence
  - suitable for grammar formalisms without CF branching structure
- *Parsing is equally complex* for generative and discriminative parsers
  - depends on features used
  - reranking uses one parser to narrow the search space for another
- Estimation is computationally inexpensive for generative parsers, but expensive for discriminative parsers
- Because a discrimative parser can use the generative model's probability estimate as a feature, *discriminative parsers almost never do worse* than the generative model, and often do substantially better.

# Discriminative learning in other settings

- Speech recognition
  - Take x to be the acoustic signal,  $\mathcal{Y}(x)$  all strings in recognizer lattice for x
  - Training data:  $D = ((y_1, x_1), \dots, (y_n, x_n))$ , where  $y_i$  is correct transcript for  $x_i$
  - Features could be *n*-grams, log parser prob, cache features
- Machine translation
  - Take x to be input language string,  $\mathcal{Y}(x)$  a set of target language strings (e.g., generated by an IBM-style model)
  - Training data:  $D = ((y_1, x_1), \dots, (y_n, x_n))$ , where  $y_i$  is correct translation of  $x_i$
  - Features could be n-grams of target language strings, word and phrase correspondences, ...

# **Conclusion and directions for future work**

- Discriminatively trained parsing models can perform better than standard generative parsing models
- Features can be arbitrary functions of parse trees
  - Difficult to tell which features are most useful
  - Are there techniques to systematically evaluate and explore possible features?
- Generative parser language models can be applied to a variety of applications. Are there similar generic discriminative parsers?
- Efficient computational procedures for search and estimation
  - Dynamic programming
  - Approximation methods (variational methods, best-first or beam search)

#### **Regularizer tuning in Max Ent models**

- Associate each feature  $f_j$  with bin b(j)
- Associate regularizer constant  $\beta_k$  with feature bin k
- Optimize feature weights  $\alpha = (\alpha_1, \dots, \alpha_m)$  on main training data M
- Optimize regularizer constants  $\beta$  on held-out data H

$$L_D(\alpha) = \prod_{i=1}^n P_\alpha(y_i|x_i), \text{ where } D = ((y_1, x_1), \dots, (y_n, x_n))$$

$$\hat{\alpha}(\beta) = \operatorname*{argmax}_{\alpha} \log L_M(\alpha) - \sum_{j=1}^m \beta_{b(j)} \alpha_j^2$$

$$\hat{\beta} = \operatorname*{argmax}_{\beta} \log L_H(\hat{\alpha}(\beta))$$

## **Expectation maximization for PCFGs**

- Hidden training data:  $D = (x_1, \ldots, x_n)$ , where  $x_i$  is a string
- The Inside-Outside algorithm is an Expectation-Maximization algorithm for PCFGs

$$\hat{p} = \operatorname{argmax}_{p} L_{D}(p), \text{ where}$$

$$L_{D}(p) = \prod_{i=1}^{n} P_{p}(x_{i}) = \operatorname{argmax}_{p} \prod_{i=1}^{n} \sum_{y \in \mathcal{Y}(x_{i})} P(y)$$

$$\bigvee_{\mathcal{Y} = \sum_{i=1}^{n} \mathcal{Y}(x_{i})} \mathcal{Y}(x_{i})$$

#### Why there is no conditional ML EM

- Conditional ML conditions on the string x
- Hidden training data:  $D = (x_1, \ldots, x_n)$ , where  $x_i$  is a string
- The likelihood is the probability of predicting the string  $x_i$  given the string  $x_i$ , a *constant function*

$$\hat{p} = \operatorname{argmax}_{D} L_{D}(p), \text{ where}$$

$$L_{D}(p) = \prod_{i=1}^{n} P_{p}(x_{i}|x_{i})$$

$$\mathcal{Y}(x_{i})$$

$$58$$