Grammars and Topic Models

Mark Johnson

Department of Computing Macquarie University



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



Topic models for document processing

- Topic models cluster documents into one or more topics
 - usually unsupervised (i.e., topics aren't given in training data)
- Important for document analysis and information extraction
 - Example: clustering news stories for information retrieval
 - Example: tracking evolution of a research topic over time





Half a million Mac computers 'infected with malware'

BBC News - 10 hours ago (141) 🔰 🛐 🖂 More than half a million Apple computers have been infected with

the Flashback Trojan, according to a Russian anti-virus firm Mac Computers Affected by Hacker Attack: Researcher

Apple Mac Computers Hit in Hacker Attack, Researcher Says

In Depth: Mac Botnet Infects More Than 600000 Apple

See all 230 sources »

See all 95 sources a



• In a mixture model, each document has a single topic



- In a mixture model, each document has a single topic
 - ▶ all words in the document come from this topic



- In a mixture model, each document has a single topic
 - ▶ all words in the document come from this topic
- In admixture models, each document has a distribution over topics



- In a mixture model, each document has a single topic
 - ▶ all words in the document come from this topic
- In admixture models, each document has a distribution over topics
 - ► a single document can have multiple topics (number of topics in a document controlled by prior)



- In a mixture model, each document has a single topic
 - ▶ all words in the document come from this topic
- In admixture models, each document has a distribution over topics
 - a single document can have multiple topics (number of topics in a document controlled by prior)
 - ⇒ can capture more complex relationships between documents than a mixture model



- In a mixture model, each document has a single topic
 - ▶ all words in the document come from this topic
- In admixture models, each document has a distribution over topics
 - a single document can have multiple topics (number of topics in a document controlled by prior)
 - ⇒ can capture more complex relationships between documents than a mixture model
- Both mixture and admixture topic models typically use a "bag of words" representation of a document



Example: documents from NIPS corpus

Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ...

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, . . .

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .



Example (cont): ignore function words

Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ...

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, . . .

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some ...



Example (cont): mixture topic model

Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ...

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, ...

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some ...



Example (cont): admixture topic model

```
Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services . . .
```

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, . . .

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some ...



My contribution: collocation topic models

```
Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ....
```

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, ...

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .



- Admixture models are more complex than mixture models
 - ⇒ Admixture models often require more data to learn
- Mixture models can describe shorter documents (phrases or clauses) fairly well, where one topic per document assumption is not too bad
- Admixture models are better for longer documents, which are likely to have more than one topic



Problems with the "bag of words" assumption

- Each word is generated independently given the document's topic(s)
- ⇒ Ignores relationship between adjacent words
 - But especially in technical documents, much specialist terminology consists of *multi-word expressions* or *collocations*
 - membrane potential is strongly indicative of NEUROSCIENCE, but membrane and potential are not
 - ► neural network is strongly indicative of MACHINE LEARNING, but neural and network are not
 - Topic models can identify important terminology of a field
 - much more useful when multi-word expressions are also identified



Using Bayesian inference to find document topics and topical words

- ullet Define generative models M of document collections ${\cal D}$
 - ▶ $P(D \mid M)$ is probability of generating D from M
- Define a Bayesian prior P(M) over possible generative models M
 - ightharpoonup P(M) is chosen to prefer "simpler" models
- ullet Goal: find the highest probability model M given document collection ${\mathcal D}$
- Bayes rule "inverts" the generative process:

$$\underbrace{P(M \mid \mathcal{D})}_{\text{Posterior}} \propto \underbrace{P(\mathcal{D} \mid M)}_{\text{Likelihood}} \underbrace{P(M)}_{\text{Prior}}$$

 Computational challenge: find models M with high posterior probability



Generative mixture and admixture topic models

- In mixture models, each document has a single topic
 - Generative model:
 for each topic i, generate a word distribution φ_i
 for each document j
 generate a document topic z_j from set of topics
 for each word position k, generate word w_k
- In admixture models, each document has a distribution over topics
 - ► Generative model:

```
for each topic i, generate a word distribution \varphi_i for each document j
```

from document topic's word distribution φ_{z_i}

generate a document topic distribution $oldsymbol{ heta}_j$ over topics for each word position k

generate word's topic z_k from document's topic distribution θ_j generate word w_k from word's topic distribition φ_{z_k}



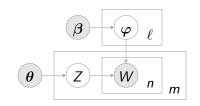
Bayesian inference for topic models

- Both mixture and admixture topic models are products of multinomial distributions
 - ⇒ conjugate prior is a product of *Dirichlet distributions*
- Dirichlet prior can bias towards sparse distributions
 - few words in each topic
 - few topics in each document (admixture model)
- Standard Bayesian inference procedures can be used to learn topic models
 - Markov Chain Monte Carlo (MCMC)
 - Mean-field Variational Bayes



Mixture topic model (formal description)

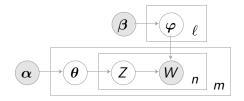
$$egin{array}{c|cccc} oldsymbol{arphi}_i & oldsymbol{eta} & \sim & \mathrm{Dir}(oldsymbol{eta}) & i=1,\ldots,\ell= \ \mathrm{number} \ \mathrm{of} \ \mathrm{topics} \\ z_j & oldsymbol{ heta} & \sim & \mathrm{Cat}(oldsymbol{ heta}) & j=1,\ldots,m= \ \mathrm{number} \ \mathrm{of} \ \mathrm{documents} \\ w_{j,k} & oldsymbol{arphi}_j & \sim & oldsymbol{arphi}_{z_j} & \sim & oldsymbol{arphi}_{z_j} \\ & & k=1,\ldots,n= \ \mathrm{number} \ \mathrm{of} \ \mathrm{words} \ \mathrm{in} \ \mathrm{documents} \end{array}$$





Admixture (LDA) topic model (formal description)

$$egin{array}{lll} oldsymbol{arphi}_{j} & \sim & \mathrm{Dir}(oldsymbol{eta}) & i=1,\ldots,\ell= ext{ number of topics} \ oldsymbol{ heta}_{j} & \sim & \mathrm{Dir}(oldsymbol{lpha}) & j=1,\ldots,m= ext{ number of documents} \ oldsymbol{z}_{j,k} & \sim & \mathrm{Cat}(oldsymbol{ heta}_{j}) & j=1,\ldots,m \ oldsymbol{k} & k=1,\ldots,n \end{array}$$





Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



Grammars and automata

- Context-Free Grammars (CFGs) were initially developed as a formal model of hierarchical constituent structure in natural languages
- But they are useful for other applications as well
 - important tool for compiler design
 - strong connections with push-down automata
- Here we use Probabilistic CFGs as compact specifications of stochastic automata



- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

Probability θ_r	Rule r	S
1	S o NP VP	
0.7	NP o Sam	
0.3	NP o Sandy	
1	$VP \to V \; NP$	
0.8	$V o \mathit{likes}$	
0.2	$V o \mathit{hates}$	



 $P(\mathsf{Tree}) =$

- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

Probability θ_r	Rule r	S	,
1	S o NP VP		
0.7	$NP o \mathit{Sam}$	NP	VP
0.3	$NP o \mathit{Sandy}$		
1	$VP \to V \; NP$		
0.8	$V o \mathit{likes}$		
0.2	$V o \mathit{hates}$		



$$P(Tree) = 1 \times$$

- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

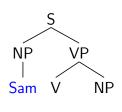
Probability θ_r	Rule r	S	
1	S o NP VP		
0.7	$NP o \mathit{Sam}$	NΡ	VP
0.3	$NP o \mathit{Sandy}$		
1	$VP \to V \; NP$	Sam	
0.8	$V \rightarrow \textit{likes}$		
0.2	V o hates		



$$P(Tree) = 1 \times 0.7 \times$$

- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

Probability θ_r	Rule r
1	S o NP VP
0.7	$NP \to \mathit{Sam}$
0.3	$NP o \mathit{Sandy}$
1	$VP \to V \; NP$
0.8	$V o \mathit{likes}$
0.2	$V o \mathit{hates}$





$$P(\mathsf{Tree}) = 1 \times 0.7 \times 1 \times$$

- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

Probability θ_r	Rule r	S
1	S o NP VP	
0.7	$NP o \mathit{Sam}$	NP VP
0.3	$NP o \mathit{Sandy}$	
1	$VP \to V \; NP$	Sam V NP
0.8	$V o \mathit{likes}$	
0.2	$V o \mathit{hates}$	likes



$$P(\mathsf{Tree}) = 1 \times 0.7 \times 1 \times 0.8 \times$$

- Probabilistic context-free grammars (PCFGs) define probability distributions over trees
- Each *nonterminal node* expands by
 - choosing a rule expanding that nonterminal, and
 - recursively expanding any nonterminal children it contains
- Probability of tree is product of probabilities of rules used to construct it

Probability θ_r	Rule r	S
1	S o NP VP	
0.7	$NP o \mathit{Sam}$	NP VP
0.3	$NP o \mathit{Sandy}$	
1	$VP \to V \; NP$	Sam V NP
0.8	$V o \mathit{likes}$	
0.2	$V o \mathit{hates}$	likes Sandy



$$P(\mathsf{Tree}) = 1 \times 0.7 \times 1 \times 0.8 \times 0.3$$

Context-Free Grammars (formal definition)

- A CFG $(\mathcal{N}, \mathcal{W}, \mathcal{R}, S)$ defines *sets of trees* \mathcal{T}_X for each $X \in \mathcal{N} \cup \mathcal{W}$:
 - if $X \in \mathcal{W}$ then $\mathcal{T}_X = \{X\}$ (the 1-node tree labelled X)
 - if $X \in \mathcal{N}$ then:

$$\mathcal{T}_{X} = \bigcup_{X \to B_{1} \dots B_{n} \in \mathcal{R}_{X}} \operatorname{Tree}_{X}(\mathcal{T}_{B_{1}}, \dots, \mathcal{T}_{B_{n}})$$

where $\mathcal{R}_A = \{A \to \beta : A \to \beta \in \mathcal{R}\}$ for each $A \in \mathcal{N}$, and

$$\mathrm{Tree}_{\mathcal{A}}(\mathcal{T}_{B_1},\ldots,\mathcal{T}_{B_n}) \ = \ \left\{ \begin{array}{ccc} \mathcal{A} & : & t_i \in \mathcal{T}_{B_i}, \\ \overbrace{t_1 \ldots t_n} & : & i = 1,\ldots,n \end{array} \right\}$$

That is, $\text{TREE}_A(\mathcal{T}_{B_1}, \dots, \mathcal{T}_{B_n})$ consists of the set of trees with whose root node is labelled A and whose ith child is a member of \mathcal{T}_{B_i} .



- A PCFG is a CFG $(\mathcal{N}, \mathcal{W}, \mathcal{R}, S)$ and multinomials θ_A over R_A for each $A \in \mathcal{N}$
 - $\theta_{A \to \beta}$ is the probability of A expanding to β
- A PCFG associates each $X \in \mathcal{N} \cup \mathcal{W}$ with a distribution G_X over trees \mathcal{T}_X
 - ▶ if $X \in \mathcal{W}$ then $G_X(X) = 1$ (i.e., all mass concentrated on 1-node tree)
 - if $A \in \mathcal{N}$ then:

$$G_A(t) = \sum_{A \to B_1 \dots B_n \in R_A} \theta_{A \to B_1 \dots B_n} TD_A(G_{B_1}, \dots, G_{B_n})(t)$$
 (1)

where:

$$\mathrm{TD}_A(G_1,\ldots,G_n)\left(\begin{array}{c} A \\ \widehat{t_1\ldots t_n} \end{array} \right) = \prod_{i=1}^n G_i(t_i).$$

That is, $\mathrm{TD}_A(G_1,\ldots,G_n)$ is a distribution over \mathcal{T}_A where each subtree t_i is generated independently from G_i .

Bayesian inference for PCFGs

- Each rule's probability $\theta_{A \to \beta}$ is given by a *categorical distribution* associated with its parent A
- ⇒ A PCFG can be viewed as a *product of multinomials*
 - The conjugate prior is a product of Dirichlet distributions (one per nonterminal)
 - Bayesian inference procedures for PCFGs
 - Markov Chain Monte Carlo (Metropolis-within-Gibbs)
 - Mean-field variational Bayes

$$m{ heta}_A \mid m{lpha}_A \sim \operatorname{Dir}(m{lpha}_A), \quad A \in \mathcal{N} = ext{set of nonterminals}$$
 $T_i \mid m{ heta}_A \sim \operatorname{TD}_S(m{ heta}), \quad i = 1, \dots, n = ext{number of sentences}$
 $m{W}_i \mid T_i = \operatorname{Yield}(T_i). \quad m{W}_i \text{ is sequence of words in sentence } i$



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



Mixture topic models as PCFGs (1)

- Idea: Design PCFG so that:
 - non-deterministic rules implement generative steps in topic model
 - deterministic rules propagate information to appropriate place



Mixture topic models as PCFGs (2)

• Choose a topic for sentence (non-deterministically)

```
\begin{array}{lll} \mathsf{Sentence} \to & \mathsf{Topic}_i' & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to & \mathsf{Topic}_i' & \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to & \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i \to & w & i \in 1, \dots, \ell \\ & w \in \mathcal{W} \end{array}
```

```
Sentence
                Topic4'
                       Topic4
         Topic4'
   Topic4'
                Topic4 faster
Topic4' Topic4 compute
Topic4 circuits
shallow
```



Mixture topic models as PCFGs (3)

Copy sentence topic to each word (deterministically)

```
\begin{array}{lll} \mathsf{Sentence} \to \mathsf{Topic}_i' & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to \mathsf{Topic}_i' \; \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i \to w & i \in 1, \dots, \ell \\ & w \in \mathcal{W} \end{array}
```

```
Sentence
                Topic4'
         Topic4'
                      Topic4
                Topic4 faster
Topic4' Topic4 compute
Topic4 circuits
shallow
```



Mixture topic models as PCFGs (4)

Generate each word from sentence topic (non-deterministically)

```
\begin{array}{lll} \mathsf{Sentence} \to \mathsf{Topic}_i' & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to \mathsf{Topic}_i' \; \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i' \to \mathsf{Topic}_i & i \in 1, \dots, \ell \\ \mathsf{Topic}_i \to w & i \in 1, \dots, \ell \\ & w \in \mathcal{W} \end{array}
```

```
Sentence
                Topic4'
         Topic4'
                      Topic4
                Topic4 faster
Topic4' Topic4 compute
Topic4 circuits
shallow
```



• Not claiming that topic modelling should be done using PCFGs



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length
- The PCFG reductions suggest new kinds of models that merge grammars and topic models



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length
- The PCFG reductions suggest new kinds of models that merge grammars and topic models
 - easily implemented and evaluated on small corpora



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length
- The PCFG reductions suggest new kinds of models that merge grammars and topic models
 - easily implemented and evaluated on small corpora
- Grammars are good at:



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length
- The PCFG reductions suggest new kinds of models that merge grammars and topic models
 - easily implemented and evaluated on small corpora
- Grammars are good at:
 - grouping words into hierarchically-structured larger units



- Not claiming that topic modelling should be done using PCFGs
 - ▶ PCFG parsing takes time proportional to *cube* of document length
 - standard topic model algorithms take time *linear* in document length
- The PCFG reductions suggest new kinds of models that merge grammars and topic models
 - easily implemented and evaluated on small corpora
- Grammars are good at:
 - grouping words into hierarchically-structured larger units
 - tracking relative ordering of these units



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



• Named entities: people, companies, places, etc.



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?
 - ightharpoonup document \sim base NP consisting of proper nouns topic \sim type of named entity



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?
 - ightharpoonup document \sim base NP consisting of proper nouns topic \sim type of named entity
 - two "topics" (person names, company names)



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?
 - ightharpoonup document \sim base NP consisting of proper nouns topic \sim type of named entity
 - two "topics" (person names, company names)
 - each "topic" has six ordered positions



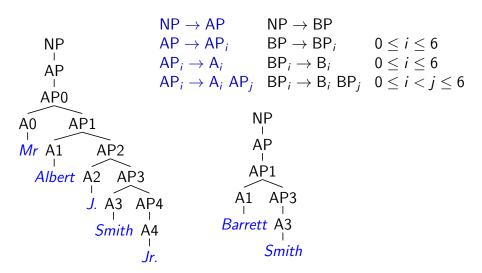
- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?
 - ightharpoonup document \sim base NP consisting of proper nouns topic \sim type of named entity
 - two "topics" (person names, company names)
 - each "topic" has six ordered positions
 - learn which words occur in which position of each "topic"



- Named entities: people, companies, places, etc.
- Represented as flat Noun Phrases (NPs) in Penn WSJ treebank
- Internal structure useful for e.g. coreference resolution
 - ▶ Bill Clinton and Hillary Clinton are unlikely to corefer because Bill and Hillary are both first names
 - Secretary Clinton and Hillary Clinton can corefer because Secretary is an honorific
- Can we learn the internal structure of named entities?
 - ightharpoonup document \sim base NP consisting of proper nouns topic \sim type of named entity
 - two "topics" (person names, company names)
 - each "topic" has six ordered positions
 - learn which words occur in which position of each "topic"
- Used in unsupervised cross-document coreference model of Elsner, Charniak and Johnson (2009)

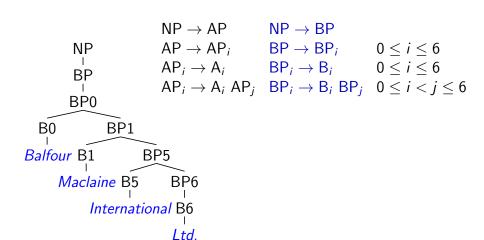


A mixture topic grammar for named entities





Analyses of company names





Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



From Multinomials to Dirichlet Processes

- Dirichlet Processes (DPs) are the infinite-dimensional generalisation of Dirichlet-Multinomials
- *Predictive distribution:* predict z_{n+1} given observations $z = (z_1, \ldots, z_n)$
 - Finite set of outcomes (1, ..., m):

 Dirichlet-multinomial with prior $\alpha = (\alpha_1, ..., \alpha_m)$

$$P(Z_{n+1} = k \mid z) \propto n_k(z) + \alpha_k$$

where $n_k(z)$ is the number of times k appears in $z=(z_1,\ldots,z_n)$

Infinite set of outcomes Ω :

Dirichlet process $\mathrm{DP}(\alpha, \mathrm{P_0})$ with base distribution $\mathrm{P_0}(Z)$ and concentration parameter α

$$P(Z_{n+1} = z' \mid z) \propto n_{z'}(z) + \alpha P_0(z')$$



Dirichlet Processes as Adaptors

DPs generalise Dirichlet-multinomials

$$P(Z_{n+1} = z' \mid z) \propto n_{z'}(z) + \alpha P_0(z')$$

- DPs follow a "rich get richer" law
 - frequent outcomes are increasingly likely to be predicted
- The DP is *stochastic*: in general, every sample $z = (z_1, z_2, ...)$ is different
 - \Rightarrow DPs map a base distribution P_0 to a distribution over distributions $DP(\alpha, P_0)$
- Pitman-Yor Processes (PYPs) generalise Dirichlet Processes
- An adaptor is a function that maps a base distribution P_0 to a distribution over distributions with the same support as P_0
 - Dirichlet Processes and Pitman-Yor Processes can be used as adaptors



Adaptor grammars as generalised PCFGs

- An adaptor grammar is a PCFG with a set $\mathcal{A} \subseteq \mathcal{N}$ of adapted nonterminals, and adaptors C_X for each $X \in \mathcal{A}$
- Dirichlet Process Adaptor Grammar:
 - ▶ If $X \in \mathcal{W}$ then $G_X(X) = 1$ (all mass on singelton tree X)
 - ▶ If $X \in \mathcal{N} \setminus \mathcal{A}$ is *not adapted* then X expands as in PCFG, i.e.,:

$$G_X = \sum_{X \to Y_1 \dots Y_m \in R_X} \theta_{X \to Y_1 \dots Y_m} TD_X(G_{Y_1}, \dots, G_{Y_m})$$

▶ If $X \in A$ is *adapted*, then PCFG distribution is adapted:

$$G_X \sim \mathrm{DP}(\alpha, H_X)$$

 $H_X = \sum_{X \to Y_1 \dots Y_m \in R_X} \theta_{X \to Y_1 \dots Y_m} \mathrm{TD}_X(G_{Y_1}, \dots, G_{Y_m})$

- Other kinds of adaptor grammars use different adaptors
 - ► *Pitman-Yor adaptor grammars* use Pitman-Yor Processes as adaptors



• Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:
 - ▶ An *unadapted nonterminal* B expands using $B \to \beta$ with probability $\theta_{B \to \beta}$



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:
 - ▶ An *unadapted nonterminal B* expands using $B \to \beta$ with probability $\theta_{B \to \beta}$
 - ▶ Each adapted nonterminal B is associated with a DP that caches previously generated subtrees in \mathcal{T}_B



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:
 - ▶ An *unadapted nonterminal B* expands using $B \to \beta$ with probability $\theta_{B \to \beta}$
 - ▶ Each adapted nonterminal B is associated with a DP that caches previously generated subtrees in \mathcal{T}_B
 - ► An *adapted nonterminal B* expands:



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:
 - ▶ An *unadapted nonterminal B* expands using $B \to \beta$ with probability $\theta_{B \to \beta}$
 - ▶ Each adapted nonterminal B is associated with a DP that caches previously generated subtrees in \mathcal{T}_B
 - ► An *adapted nonterminal B* expands:
 - to a subtree $t' \in \mathcal{T}_B$ probability proportional to the number of times t' was previously generated



- Predictive distribution: predict next tree t_{n+1} given previously generated trees $t = (t_1, \ldots, t_n)$
- Predictive model "caches" adapted subtrees:
 - ▶ An *unadapted nonterminal B* expands using $B \to \beta$ with probability $\theta_{B \to \beta}$
 - ▶ Each adapted nonterminal B is associated with a DP that caches previously generated subtrees in \mathcal{T}_B
 - ► An *adapted nonterminal B* expands:
 - to a subtree $t' \in \mathcal{T}_B$ probability proportional to the number of times t' was previously generated
 - using $B \to \beta$ with probability proportional to $\alpha \theta_{B \to \beta}$



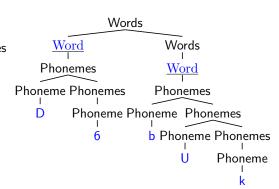
Adaptor grammars for word segmentation

- Input: phoneme sequences with sentence boundaries (Brent)
- Task: identify word boundaries, and hence words

$$y \, _{\vartriangle} u \, _{\blacktriangle} w \, _{\vartriangle} a \, _{\vartriangle} n \, _{\vartriangle} t \, _{\blacktriangle} t \, _{\vartriangle} u \, _{\blacktriangle} s \, _{\vartriangle} i \, _{\blacktriangle} D \, _{\vartriangle} 6 \, _{\blacktriangle} b \, _{\vartriangle} U \, _{\vartriangle} k$$

Words \rightarrow Word Words \rightarrow Word Words \rightarrow Phonemes Phonemes \rightarrow Phoneme Phonemes Phoneme \rightarrow Phoneme Phoneme \rightarrow $a \mid \ldots \mid z$

 Adapted nonterminals (e.g., <u>Word</u>) highlighted and underlined





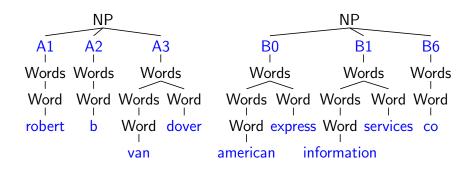
Adaptor grammars for named entity parsing

```
\begin{array}{lll} \mathsf{NP} \to (\mathsf{A0}) \; (\mathsf{A1}) \; \dots \; (\mathsf{A6}) & \mathsf{NP} \to (\mathsf{B0}) \; (\mathsf{B1}) \; \dots \; (\mathsf{B6}) \\ \underline{\mathsf{A0}} \to \mathsf{Words} & \underline{\mathsf{B0}} \to \mathsf{Words} \\ \dots & \dots & \dots \\ \underline{\mathsf{A6}} \to \mathsf{Words} & \underline{\mathsf{B6}} \to \mathsf{Words} \\ \mathsf{NP} \to \mathsf{Unordered} + & \underline{\mathsf{Unordered}} \to \mathsf{Words} \\ \mathsf{Words} \to \mathsf{Word} & \mathsf{Words} \to \mathsf{Words} \; \mathsf{Word} \\ \mathsf{Word} \to w & \mathsf{for each} \; w \in \mathcal{W} \end{array}
```

- Grammar learns three kinds of named entities
- Two are ordered sequences as before
 - each "slot" can be filled with a collocation
 - captures multi-word expressions like van Dover



Sample parses for named entities



See Elsner, Charniak and Johnson (2009)



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



Using "document ids" to identify documents

- Admixture topic models are standardly applied to entire documents
- Standard PCFG parsing algorithms require time proportional to cube of sentence length
 - while it's possible for a PCFG to generate full documents, with standard parsing algorithms it would be unacceptably slow
- Document ids permit us to break a document into several smaller chunks
 - a document id is a special nonterminal identifying the document this input came from



Admixture topic models as PCFGs (1)

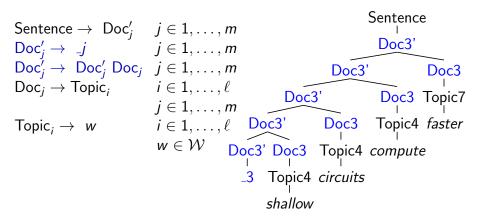
Prefix strings from document j with a document identifier "_j"

```
Sentence
Sentence \rightarrow \operatorname{Doc}_{i}' \quad j \in 1, \ldots, m
                                                                               Doc3'
\mathsf{Doc}_i' \to \_j \qquad j \in 1, \ldots, m
\mathsf{Doc}_i' \to \mathsf{Doc}_i' \; \mathsf{Doc}_i \; \; j \in 1, \dots, m
                                                                     Doc3'
                                                                                          Doc3
\mathsf{Doc}_i \to \mathsf{Topic}_i
                     i \in 1, \ldots, \ell
                                                           Doc3'
                                                                                Doc3 Topic7
                              j \in 1, \ldots, m
                                                                   Doc3
                            i \in 1, \ldots, \ell
                                                 Doc3'
                                                                               Topic4 faster
\mathsf{Topic}_i \to w
                               w \in \mathcal{W}
                                              Doc3' Doc3 Topic4 compute
                                                 _3 Topic4 circuits
                                                       shallow
```



Admixture topic models as PCFGs (2)

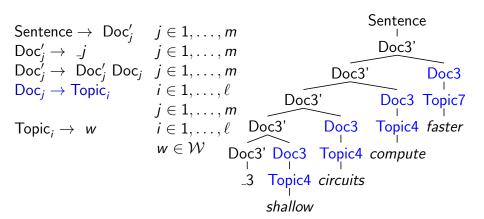
• Spine deterministically propagates document id up through tree





Admixture topic models as PCFGs (3)

ullet Doc $_j
ightarrow$ Topic $_i$ rules nondeterministically map documents to topics





Admixture topic models as PCFGs (4)

ullet Topic $_i
ightarrow w$ rules nondeterministically map topics to words



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

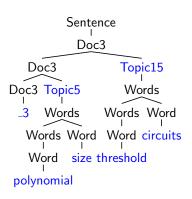
Conclusion and future work



Topic model with collocations

• Combines *PCFG* for admixture topic model and segmentation adaptor grammar

```
\begin{array}{lll} \mathsf{Sentence} \to \mathsf{Doc}_j & j \in 1, \dots, m \\ \mathsf{Doc}_j \to \lrcorner j & j \in 1, \dots, m \\ \mathsf{Doc}_j \to \mathsf{Doc}_j & \mathsf{Topic}_i & i \in 1, \dots, \ell; \\ & j \in 1, \dots, m \\ \hline \mathsf{Topic}_i \to \mathsf{Words} & i \in 1, \dots, \ell \\ \hline \mathsf{Words} \to \mathsf{Word} & \\ \mathsf{Words} \to \mathsf{Word} & \\ \mathsf{Word} \to \mathsf{Words} & \\ \mathsf{Word} \to w & w \in \mathcal{W} \end{array}
```





Data preparation in Griffiths et al (2007)

- Documents are papers from NIPS proceedings (\sim 3 million words)
- Case normalised
- Segmented at punctuation and function words

```
annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. getting a dataset labeled by experts can be expensive and time consuming. with the advent of crowdsourcing services . . .
```

the task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, . . .



Finding topical collocations in NIPS abstracts

- Run topical collocation adaptor grammar on NIPS corpus
- Run with $\ell = 20$ topics (i.e., 20 distinct Topic_i nonterminals)
- Corpus is segmented by punctuation
 - terminal strings are fairly short
 - ⇒ inference is fairly efficient
- Used Pitman-Yor adaptors
 - sampled Pitman-Yor a and b parameters
 - ▶ flat and "vague Gamma" priors on Pitman-Yor *a* and *b* parameters
- See Griffiths et al (2007) for an alternative topical collocation model, and Johnson and Goldwater (2009) for details on inference



Sample output on NIPS corpus, 20 topics

• Multiword subtrees learned by adaptor grammar:

```
T_{-}0 \rightarrow gradient descent
                                                  T_{-}1 \rightarrow associative memory
T_{-}0 \rightarrow cost function
                                                   T_{-1} \rightarrow \text{standard deviation}
T_{-}0 \rightarrow fixed point
                                                  T_{-}1 \rightarrow randomly chosen
T_-0 \rightarrow learning rates
                                                  T_{-}1 \rightarrow hamming distance
T_{-}3 \rightarrow \text{membrane potential}
                                                  T_{-}10 \rightarrow \text{ocular dominance}
T_{-}3 \rightarrow action potentials
                                                  T_{-}10 \rightarrow visual field
T_{-}3 \rightarrow visual system
                                                  T_{-}10 \rightarrow \text{nervous system}
T_3 \rightarrow \text{primary visual cortex}
                                                  T_{-}10 \rightarrow action potential
```

- Sample skeletal parses:
 - _3 (T_5 polynomial size) (T_15 threshold circuits)
 - _4 (T_11 studied) (T_19 pattern recognition algorithms)
 - _4 (T_2 feedforward neural network) (T_1 implements)
 - $_{-5}$ (T_11 single) (T_10 ocular dominance stripe) (T_12 low) (T_3 ocularity) (T_12 drift rate)



Some collocations found in NIPS corpus

Count	Topic	Collocation
2	T0	unites states israeli binational science foundation bsf
2	T5	batch k-means empty circles online gradient
12	T1	partially observable markov decision processes
12	T2	defense advanced research projects agency
7	T5	radial basis function rbf network
5	Т6	analog vlsi neural network chip
4	T12	national science foundation graduate fellowship
3	T10	globally optimal on-line learning rules
3	T12	radial basis function rbf units
3	T13	non-parametric multi-scale statistical image model
3	T15	weight vector estimate requires knowledge
3	T17	orientation bands intersect ocular dominance
3	T18	optimal brain damage le cun
3	Т6	normalized mean squared prediction error
47	T5	markov chain monte carlo
43	T12	radial basis function rbf
41	T12	radial basis function networks
39	T7	independent component analysis ica
QUARIE VERSITY	T11	principal component analysis pca

52/57

Some collocations found in NIPS corpus (cont.)

	Count	Topic	Collocation
	17	T11	principal components analysis pca
	16	T11	hidden markov models hmm
	14	T18	artificial neural network ann
	13	T15	optimal brain damage obd
	12	T4	kanerva sparse distributed memory
	11	T14	hybrid monte carlo method
	11	T19	artificial neural networks ann
	10	T0	mean square error mse
	10	T12	radial basis functions rbfs
	10	T16	markov decision process pomdp
	10	T11	hidden markov model hmm
	10	T3	atr human information processing
	10	T18	artificial neural networks anns
	10	T9	spin spin correlation function
	9	T2	naive mean field approximation
	9	T0	mean squared error mse
	9	T7	support vector machines svms
A.,	9	T8	owl sound localization system
<i>)))</i>	8	T1	compatible lateral bipolar transistors



Application: "perspective" and sentiment analysis

- Hardisty et al (2010) use a topical collocation model in a "perspective" sentiment analysis
- Data: the Bitter Lemons corpus essays on mid-East issues from Israeli and Palestinian perspectives
- Supervised training: training sentences belong to one of two "super documents"
 - learns distributions over topics associated with each perspective
 - can be viewed as a "semi-supervised" approach
- Label test documents by finding "super document" most likely to generate them
- Compared a number of other supervised and semi-supervised methods (including SVMs, other collocation-based approaches)
- Found that adaptor grammar topical collocations (with a hierarchical topic structure) performed best of all



Outline

Topic models

Context-free grammars

Mixture topic models as PCFGs

Unsupervised learning of internal structure of named entities

Adaptor grammars: a Bayesian non-parametric extension to CFGs

Admixture topic models as PCFGs

Finding topical collocations with adaptor grammars

Conclusion and future work



Conclusions

- Topic models are useful for automatically classifying and extracting information from document collections
- Although PCFGs are generally thought of as methods for syntactic analysis, they can be used to express topic models as well
- Both mixture and admixture topic models can be expressed as PCFGs
- The connection between PCFGs and topic models suggests lots of new types of topic models
 - ▶ PCFGs are good at capturing structural relationships
 - \Rightarrow unsupervised models that learn the structure of names
- Adaptor grammars generalise PCFGs by memoising entire subtrees
 - ⇒ topic models that *learn topical collocations* rather than just topical words



Future work

- Evaluation methods for topical collocation models
 - many variants of these models are possible
 - each of them has tunable hyperparameters
 - application-based evaluation (e.g., Hardisty et al)
- Efficient inference procedures specialised for particular models
 - the grammar-based approach is very general
 - but more efficient procedures can be constructed for particular models
- Find other applications of topic model/grammar hybrids

